

HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ CERTS

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2

Summary of PIA Required Questions

Question	Response
1 System:	AHRQ CERTS
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	Jun 27, 2006
5 OPDIV Name:	AHRQ
6 Unique Project Identifier (UPI) Number:	N/A
7 Privacy Act System of Records (SOR) Number:	N/A
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	www.certs.hhs.gov
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Carmen Kelly
12 Provide an overview of the system:	This is not a collection system . The site provides information on the Centers for Education and Research on Therapeutics. CERTs. Congress authorized the CERTs demonstration program as part of the Food and Drug Administration Modernization Act of 1997.
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	No
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	N/A
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	N/A
18 Describe the consent process:	N/A
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	No
22 Are there technical controls present?	-
23 Describe the IIF security controls:	N/A
24 Sr Official of Privacy Signature:	Tim Erny
25 Sr Official of Privacy Signoff Date:	Jun 28, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.



HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ Electronic Preventive Services Selector (ePSS)

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2

Summary of PIA Required Questions

Question	Response
1 System:	AHRQ Electronic Preventive Services Selector (ePSS)
2 Is this a new PIA?	Yes
3 If this is an existing PIA, please provide a reason for revision:	-
4 Date of this Submission:	Oct 25, 2006
5 OPDIV Name:	AHRQ
6 Unique Project Identifier (UPI) Number:	N/A
7 Privacy Act System of Records (SOR) Number:	N/A
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	AHRQ Electronic Preventive Services Selector
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Therese Miller
12 Provide an overview of the system:	<p>The Electronic Preventive Services Selector (ePSS) is a quick hands-on tool designed to help primary care clinicians identify the screening, counseling, and preventive medication services that are appropriate for their patients.</p> <p>The ePSS is available both as a PDA application and a web-based tool. It is based on current recommendations of the U.S. Preventive Services Task Force and can be searched by specific patient characteristics, such as age, sex, and selected behavioral risk factors.</p>
13 Indicate if the system is new or an existing one being modified:	New
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	This system does not share or disclose IIF.
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	<p>E-Mail address is the only piece of information that is collected/stored by the system. This information is only used for providing automatic notification of system updates to end-users. Submission of personal information is completely voluntary and users may unsubscribe to this service at any time.</p> <p>Individuals who voluntarily provide IIF will not be affected by system changes or updates. Use of IIF will only be used for the stated intended purpose and will be destroyed prior to any changes that result in any data use changes. See question #26 for consent and sharing information.</p>
18 Describe the consent process:	<p>Individuals will be given a notice of consent through a Privacy Policy statement/link and a machine readable P3P privacy policy.</p>
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22 Are there technical controls present?	Yes
23 Describe the IIF security controls:	<p>Information is both physically and electronically secured. The hardware supporting this Web site is located in a controlled environment with physical locks and restricted access as well as intrusion detection/alarm systems. The data also resides within a firewall, NAT configuration with limited/controlled access to those required to maintain and backup the hardware and supporting systems.</p>
24 Sr Official of Privacy Signature:	Tim Erny

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ Electronic Preventive Services Selector (ePSS)

25 Sr Official of Privacy Signoff Date: Jan 8, 2007

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ HCUPnet

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2

Summary of PIA Required Questions

Question	Response
1 System:	AHRQ HCUPnet
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	Jun 5, 2006
5 OPDIV Name:	AHRQ
6 Unique Project Identifier (UPI) Number:	N/A
7 Privacy Act System of Records (SOR) Number:	N/A
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	http://hcup.ahrq.gov/
10 System Name:	HCUPnet Web site
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Anne Elixhauser
12 Provide an overview of the system:	<p>The Healthcare Cost and Utilization Project (HCUP) is a family of health care databases and related software tools and products developed by the Agency for Healthcare Research and Quality (AHRQ) within the Department of Health and Human Services, with the cooperation of state and private data organizations. HCUP databases contain health care information that data organizations collect from health care providers and transmit to AHRQ. AHRQ maintains the HCUP databases, which create a national resource of health care information that includes the largest collection of hospital discharge data in the United States. HCUP databases enable public health activities related to improving the quality of health care and health services delivery, as well as research on a broad range of policy issues including cost and quality of health services, medical practice patterns, access to health care programs, and outcomes of treatments at the national, state, and local levels. Under the Healthcare Research and Quality Act of 1999, 42 U.S.C. §299 et seq., AHRQ is authorized to collect data for the purpose of enhancing the quality, efficiency, and effectiveness of health services, and access to health services. AHRQ fulfills this mission in part by engaging in public health activities such as promoting improvements in clinical and health system practices, including practices aimed at the prevention of disease and other health conditions. For example, AHRQ is authorized to develop and disseminate information to consumers and professionals regarding health care quality, technology assessment, and the scientific evidence supporting health practices. Congress has also authorized AHRQ to undertake initiatives that advance public and private efforts to improve health care quality nationwide. AHRQ has been designated the lead Federal agency for quality of care research, charged with the responsibility of coordinating all Federal health services research. Under the Healthcare Research and Quality Act, AHRQ conducts and funds studies of the quality, appropriateness, and effectiveness of health care services and the organization, financing, and delivery of these services. HCUPnet is a Web-based tool for identifying, tracking, analyzing, and comparing statistics on hospitals at the national, regional, and State level.</p> Existing
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	No
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	This system does not collect, share, or disclose IIF.

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ HCUPnet

- 17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:

18 Describe the consent process:

19 Does the system host a website?

20 Does the website have any information or pages directed at children under the age of thirteen?

21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?

22 Are there technical controls present?

23 Describe the IIF security controls:

24 Sr Official of Privacy Signature:

25 Sr Official of Privacy Signoff Date:
- HCUPnet does not collect or contain any personally identifiable information within any databases, records, or files hosted by the system.

This system does not collect, share, or disclose IIF.

Yes

No

No

-

N/A

Tim Erny

Jun 28, 2006

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HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ Medical Expenditure Panel Survey - Data Collection (MEPS-DC)

1	
The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.	
2	Summary of PIA Required Questions

Question	Response
1 System:	AHRQ Medical Expenditure Panel Survey - Data Collection (MEPS-DC)
2 Is this a new PIA?	Yes
3 If this is an existing PIA, please provide a reason for revision:	-
4 Date of this Submission:	Mar 15, 2007
5 OPDIV Name:	AHRQ
6 Unique Project Identifier (UPI) Number:	NA
7 Privacy Act System of Records (SOR) Number:	NA
8 OMB Information Collection Approval Number:	NA
9 Other Identifying Number(s):	NA
10 System Name:	Medical Expenditure Panel Survey - Data Collection (MEPS-DC)
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Eric Colombel
12 Provide an overview of the system:	This PIA is for MEPS DC which is a component of the MEPS system
13 Indicate if the system is new or an existing one being modified:	New
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	The agency does not share the collected IIF information. Only non IIF information is shared.
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	The information collected is: the age, race, and sex of each family member; Health conditions; Current Health status; Visits to health care providers (doctors, dentists, hospital, etc.); Charges and Payments for Health Care; Medications; Employment; Health Insurance. It is collected through a team of interviewers and the information submission is voluntary. The information is used to generate statistical data that is used to spot trend in health care spending.
18 Describe the consent process:	The information is gathered through a face-to-face interview process with the selected participants. Prior to the interview process it is explained to the participants what data is being collected, why, and how the data is shared and protected.
19 Does the system host a website?	No
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22 Are there technical controls present?	Yes
23 Describe the IIF security controls:	The IIF information is secured on a protected network , data is encrypted, and is only accessible from specific terminals. This network has no access to the Internet.
24 Sr Official of Privacy Signature:	Tim Erny
25 Sr Official of Privacy Signoff Date:	Mar 15, 2007

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ Medical Expenditure Panel Survey (MEPS)

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2

Summary of PIA Required Questions

Question	Response
1 System:	AHRQ Medical Expenditure Panel Survey (MEPS)
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	Jan 16, 2007
5 OPDIV Name:	AHRQ
6 Unique Project Identifier (UPI) Number:	009-33-01-04-01-0011-00; (009-33-01-04-01-0011-00-110-218; 009-33-01-04-01-0011-00-202-070)
7 Privacy Act System of Records (SOR) Number:	09-35-0002
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	Medical Expenditure Panel Survey (MEPS)
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Eric Colombel
12 Provide an overview of the system:	Data collection and dissemination
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	The agency does not share the IIF. Only non-IIF information is shared.
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	The information collected is: the age, race, and sex of each family member; Health conditions; Current Health Status; Visits to health care providers (doctors, dentists, hospitals, etc.); Charges and Payments for Health Care; Medications; Employment; Health Insurance. It is collected through a team of interviewers and the information submission is voluntary. The information is used to generate statistical data that is used to spot trends in health care spending.
18 Describe the consent process:	The information is gathered through a face-to-face interview process with the selected participants. Prior to the interview processes it is explained to the participants what data is being collected, why, and how the data is shared and protected.
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22 Are there technical controls present?	Yes
23 Describe the IIF security controls:	The IIF information is secured on a protected network that only accessible from specific terminals. This network has no access to the Internet or any other network. For COOP purposes the data is mirrored to an off-site host and is only accessible via VPN or at recovery facility.
24 Sr Official of Privacy Signature:	Tim Erny
25 Sr Official of Privacy Signoff Date:	Jan 16, 2007

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ National Guidelines Quality Measures Clearinghouse (NGQMC)

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2Summary of PIA Required Questions

Question	Response
1 System:	AHRQ National Guidelines Quality Measures Clearinghouse (NGQMC)
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	May 25, 2006
5 OPDIV Name:	AHRQ
6 Unique Project Identifier (UPI) Number:	NA
7 Privacy Act System of Records (SOR) Number:	NA
8 OMB Information Collection Approval Number:	NA
9 Other Identifying Number(s):	NA
10 System Name:	AHRQ National Guidelines Quality Measures Clearinghouse (NGQMC)
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Mary P. Nix
12 Provide an overview of the system:	AHRQ National Guidelines Quality Measures Clearinghouse is composed of the AHRQ National Guidelines Clearinghouse and the AHRQ National Quality Measures Clearinghouse. AHRQ National Guidelines Clearinghouse (NGC) is a public resource for evidence-based clinical practical guidelines. NGC is an initiative of the Agency for Healthcare Research and Quality (AHRQ). The NGC Website is a public website hosted by the contractor ECRI. No sensitive information is collected. AHRQ National Quality Measures Clearinghouse (NQMC) is a public repository for evidence-based quality measures and measure sets. The AHRQ National Quality Measures Clearinghouse (NQMC) Website is hosted at ECRI (Contractor).
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	No
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	NA
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	NA
18 Describe the consent process:	NA
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	No
22 Are there technical controls present?	-
23 Describe the IIF security controls:	NA
24 Sr Official of Privacy Signature:	Tim Erny
25 Sr Official of Privacy Signoff Date:	May 30, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ Portal System

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2

Summary of PIA Required Questions

Question	Response
1 System:	AHRQ Portal System
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	Oct 20, 2006
5 OPDIV Name:	AHRQ
6 Unique Project Identifier (UPI) Number:	N/A
7 Privacy Act System of Records (SOR) Number:	N/A
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	AHRQ National Resource Center for HealthIT
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Steve Bernstein
12 Provide an overview of the system:	Portal system is comprised of the Extranet web site, public web site, and a search function that are available from the Internet. The Extranet requires a username and password to log on. The public web site and search function are available to anyone on the web.
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	Does not share IIF
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	<p>The administrators collect three peices of mandatory information: first name, last name, and e-mail address, for the Extranet web site. These three peices of information are used to generate a user id and password. A user may supply, if the elect to do do, a phone number and mailing address that is put into a profile that only that user and the administrator can see.</p> <p>On the public web site a user may supply, if submitting a comment or suggestion, if the elect to do so, any of or none these three peices of IIF: first name, last name, or e-mail address.</p> <p>The search function does not collect IIF.</p>
18 Describe the consent process:	<p>On the Extranet web site community administrators compile a spreadsheet of IIF and sign a waiver allowing AHRQ administrators use of IIF.</p> <p>On the public web site the IIF is not shared. This IIF is only maintained long enough to respond to questions or suggestions submitted by the user.</p>
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22 Are there technical controls present?	Yes

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ Portal System

- 23 Describe the IIF security controls:

Defense in depth strategy includes, but not limited too: access controls lists, badge reader controlled computer room, armed guards, firewalls, IDS, enterprise management, etc.

To enter the building an individual must present a photo ID to an armed guard. They must pass through a metal detector and send luggage through an x-ray machine. They must they use a simple key card to pass through an entryway, next to the guard's station, and use the elevator to leave the first floor. A closed-circuit television system monitors the parking lot, entries, and server room. Internal doors have cipher locks that require knowledge of the proper code to enter controlled access rooms. The server room also requires that non-authorized entrants must be accompanied by an authorized user and sign the log sheet for entry.
- 24 Sr Official of Privacy Signature:

Tim Erny
- 25 Sr Official of Privacy Signoff Date:

Jan 26, 2007

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ Publications Clearinghouse (eORDERS)

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2

Summary of PIA Required Questions

Question	Response
1 System:	AHRQ Publications Clearinghouse (eORDERS)
2 Is this a new PIA?	Yes
3 If this is an existing PIA, please provide a reason for revision:	-
4 Date of this Submission:	Jan 8, 2007
5 OPDIV Name:	AHRQ
6 Unique Project Identifier (UPI) Number:	N/A
7 Privacy Act System of Records (SOR) Number:	PENDING
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	AHRQ Publications Clearinghouse (eORDERS)
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Randie Siegel
12 Provide an overview of the system:	AHRQ operates a national publications clearinghouse to meet the ever-increasing demand for its publications. Requests for AHRQ publications and general information about the Agency and its programs can be made 24 hours a day by calling the clearinghouse's toll-free number, writing to the AHRQ Publications Clearinghouse, or by using an online order form (AHRQ Publications Clearinghouse - eOrders) to order select publications. The publications made available through this system describe AHRQ programs and present research findings. The online ordering system will be expanded in the future to include additional publications and products as well as provide a mechanism to download PDF publications and posters.
13 Indicate if the system is new or an existing one being modified:	New
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	Yes
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	This system does not share or disclose IIF.
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	Mailing and Email Addresses are collected for delivery of AHRQ publications and products (including First Name, Middle Initial, Last Name, Organization, Department, Address, City, State, Zip, Country, E-Mail address). First Name, Last Name, and Mailing Address are mandatory fields used for the sole purpose of delivering publications and products. Customer information is retained in the eOrders system for future order requests. Information retained in the system is never shared, distributed, or reviewed by any other external party or agency.
18 Describe the consent process:	Individuals who provide IIF will not be affected by system changes or updates. Use of IIF will only be used for the stated intended purpose and will be destroyed prior to any changes that result in any data use changes. See question #26 for consent and sharing information.
19 Does the system host a website?	Individuals will be given a notice of consent through a Privacy Policy statement/link and a machine readable P3P privacy policy. Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22 Are there technical controls present?	Yes

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ Publications Clearinghouse (eORDERS)

- 23 Describe the IIF security controls:

Information is both physically and electronically secured. The hardware supporting this Web site is located in a controlled environment with physical locks and restricted access as well as intrusion detection/alarm systems. The data also resides within a firewall, NAT configuration with limited/controlled access to those required to maintain and backup the hardware and supporting systems.
- 24 Sr Official of Privacy Signature:

Tim Erny
- 25 Sr Official of Privacy Signoff Date:

Jan 8, 2007

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ QualityTools (QT)

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2

Summary of PIA Required Questions

Question	Response
1 System:	AHRQ QualityTools (QT)
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	Jul 24, 2007
5 OPDIV Name:	AHRQ
6 Unique Project Identifier (UPI) Number:	N/A
7 Privacy Act System of Records (SOR) Number:	N/A
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	QualityTools (QT)
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Mary P. Nix, MS, MT(ASCP)SBB
12 Provide an overview of the system:	AHRQ QualityTools is a clearinghouse for practical, ready-to-use tools for measuring and improving the quality of healthcare. QualityTools website is public and hosted by Westat Existing
13 Indicate if the system is new or an existing one being modified:	
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	No
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	N/A
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	N/A
18 Describe the consent process:	N/A
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	No
22 Are there technical controls present?	-
23 Describe the IIF security controls:	N/A
24 Sr Official of Privacy Signature:	Tim Erny
25 Sr Official of Privacy Signoff Date:	Jul 24, 2007

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ SQI

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2

Summary of PIA Required Questions

Question	Response
1 System:	AHRQ SQI
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	Jun 14, 2006
5 OPDIV Name:	AHRQ
6 Unique Project Identifier (UPI) Number:	N/A
7 Privacy Act System of Records (SOR) Number:	N/A
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	AHRQ Support for Quality Indicators System and Website
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Mamatha Pancholi, MS
12 Provide an overview of the system:	Support for Quality Indicators, under AHRQ Contract 290-02-0007. Includes website as well as management of user support contacts and integration of user support needs with AHRQ Quality Indicator strategic planning. The Support for Quality Indicators Contract was implemented in June of 2002 to provide support for users of the AHRQ Quality Indicators to facilitate their implementation and ease the translation of research into practical applications. The website of the Quality Indicators Support system is publicly accessible. It provides public access to all information related to the AHRQ Quality Indicators (QIs) which are measures of health care quality that make use of readily available hospital inpatient administrative data. Software and a user guide are available for download within this Web site that will help users apply the Quality Indicators to their own data Existing
13 Indicate if the system is new or an existing one being modified:	
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	N/A
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	The user support system and website are maintained as a public service to provide support to individuals interested in use of the AHRQ Quality Indicators and information on healthcare research and quality from our Agency. No personal information is collected by this Web site. Users of the Web site may subscribe to a mailing list (listserv) to receive official announcements regarding QI updates and software releases. Users of the system may seek individualized assistance through contact to the user support e-mail address or telephone support. Information is collected only by either subscribing to the listserv or sending an E-mail message requesting assistance from the AHRQ help system to the Web site mailbox. The information is used only to respond to the users request message or to fulfill the stated purpose of the communication. (ie. Listserv subscription or help desk support)
18 Describe the consent process:	No processes are in place. The information is not to be shared now or in the future. For additional information please consult the privacy notice is http://www.ahrq.gov/news/privacy.htm .
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22 Are there technical controls present?	Yes

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ SQI

- 23 Describe the IIF security controls:
- Information is both physically and electronically secured. The hardware supporting this Web site is located in a controlled environment with physical locks and restricted access as well as intrusion detection/alarm systems. The data resides within a firewall, NAT configuration with limited/controlled access to those required to maintain and backup the hardware and supporting systems. Only the Quality Indicators support team members (contractors and the AHRQ Project Officer) have access to the user support system which is controlled by use of individual passwords.
- 24 Sr Official of Privacy Signature:
- Tim Erny
- 25 Sr Official of Privacy Signoff Date:
- Jun 15, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ Value Driven Healthcare

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2Summary of PIA Required Questions

Question

Response

- 1 System:

AHRQ Value Driven Healthcare
- 2 Is this a new PIA?

Yes
- 3 If this is an existing PIA, please provide a reason for revision:

-
- 4 Date of this Submission:

Mar 20, 2007
- 5 OPDIV Name:

AHRQ
- 6 Unique Project Identifier (UPI) Number:

N/A
- 7 Privacy Act System of Records (SOR) Number:

N/A - Not applicable to privacy act
- 8 OMB Information Collection Approval Number:

N/A
- 9 Other Identifying Number(s):

N/A
- 10 System Name:

AHRQ Value Driven Healthcare
- 11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:

Mark Handelman
- 12 Provide an overview of the system:

In cooperation with America's largest employers and the medical profession, the Value-Driven Health Care initiative is laying the foundation for pooling and analyzing information about procedures, hospitals and physician services. When this data foundation is in place, regional health information alliances will turn the raw data into useful information for consumers.
- 13 Indicate if the system is new or an existing one being modified:

New
- 14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?

Yes
- 15 Is the system subject to the Privacy Act?

No
- 16 If the system shares or discloses IIF please specify with whom and for what purpose(s):

AHRQ shares IIF data with the Office of the Assistant Secretary for Planning and Evaluation (ASPE) for validation and verification purposes.
- 17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:

Name, mailing address, phone number, and e-Mail address are the only pieces of information that are collected/stored by the system. This information is only used for contacting the user and coordinating activities related to Value-Driven Health Care. Submission of personal information is completely voluntary and users may request that their information be removed at any time.
- 18 Describe the consent process:

Individuals who voluntarily provide IIF will not be affected by system changes or updates. Use of IIF will only be used for the stated intended purpose and will be destroyed prior to any changes that result in any data use changes. See question #26 for consent and sharing information.
- 19 Does the system host a website?

Yes
- 20 Does the website have any information or pages directed at children under the age of thirteen?

No
- 21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?

Yes
- 22 Are there technical controls present?

Yes
- 23 Describe the IIF security controls:

Information is both physically and electronically secured. The hardware supporting this Web site is located in a controlled environment with physical locks and restricted access as well as intrusion detection/alarm systems. The data also resides within a firewall, NAT configuration with limited/controlled access to those required to maintain and backup the hardware and supporting systems.
- 24 Sr Official of Privacy Signature:

Tim Erny
- 25 Sr Official of Privacy Signoff Date:

Mar 21, 2007

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

AHRQ: AHRQ WEB

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2Summary of PIA Required Questions

Question	Response
1 System:	AHRQ WEB
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	Jun 14, 2006
5 OPDIV Name:	AHRQ
6 Unique Project Identifier (UPI) Number:	N/A
7 Privacy Act System of Records (SOR) Number:	N/A
8 OMB Information Collection Approval Number:	OMB 0935-0106
9 Other Identifying Number(s):	N/A
10 System Name:	AHRQ Web Site
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Gerri Michael Dyer
12 Provide an overview of the system:	Information dissemination and customer feedback/inquiries. AHRQ Web site is a communications system for information dissemination that provides a customer feedback: mechanism for inquiries and comments. AHRQ was reauthorized in the Healthcare Research and Quality Act of 1999.
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	With whom and for what purposes: Handled in a confidential manner within the Agency; referred to the appropriate staff to respond to message or to fulfill the stated purpose of the communication. Web site mailbox inquiries are handled in a confidential manner within the Agency. Specific inquiries are routed to the appropriate staff for response or to fulfill the stated purpose of the communication. IIF data is shared with other Agencies only when the user has a question that is directed toward another agency.
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	Users are given the option of contacting the site operator for the limited purposes of providing feedback or obtaining additional information. They may provide name, address, phone number, e-mail address, and Web URLs for Agency response to comments and inquiries.
18 Describe the consent process:	To respond to inquiries and comments. A customer feedback mechanism is provided on the Web site for users and can be linked through the Contact Us button on the site. An Electronic Privacy Policy Notice is posted on the Web site home page and all directories/subdirectories of the site. Information provided is on a voluntary basis.
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22 Are there technical controls present?	Yes
23 Describe the IIF security controls:	User inquiries are handled in a confidential manner and secured in an electronic archive that is behind the network firewall. Only the system administrators have access.
24 Sr Official of Privacy Signature:	Tim Erny
25 Sr Official of Privacy Signoff Date:	Jun 15, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

